

North Dakota State Board of Registration for Professional Engineers and Professional Land Surveyors

<u>Instructions for Completion of the Application for</u> Professional Engineer Registration by Endorsement

- Carefully read Title 28 of the North Dakota Administrative Code (NDAC). Title 28 contains all rules, regulations and requirements pertaining to registration in North Dakota. In addition, all definitions of terms necessary for completion of the application are also contained in Title 28. The complete text of Title 28, NDAC is available on the State Board's webpage at www.ndpelsboard.org.
- ✓ Complete the application form in its entirety, preferably typed. Illegible applications will be returned and the fee will be forfeit.
- ✓ For applicants using an NCEES Record: The North Dakota State Board may accept the NCEES record in whole or in part or may refuse to accept any part of it. The State Board office may ask you to supply supplemental information in addition to what is contained in an NCEES record. Failure to provide this information will result in your application being deemed incomplete and will not be considered by the Board. The sole purpose of the NCEES record is to provide the State Board with information. Holding an NCEES record does not mean that you are "nationally registered". It is the responsibility of the applicant to contact NCEES and ask that your NCEES record be sent to North Dakota.

Instructions for Application Form A

1. General Information

- a. Date the application.
- b. Comity/Endorsement is only for individuals who already have a valid license in another jurisdiction. Individuals desiring to take one of the Principles & Practices examinations should return to the Board's web site and download the correct application.
- c. Provide your social security number for required background check.
- d. Provide first, middle and last name; if you do not have a middle name, please indicate so.
- e. Provide your name as you sign drawings, etc. If you do not use a middle initial, please indicate so. If you desire to use your full name, indicate "same".
- f. Provide the address you want the Board to use to correspond with you and provide an email address.
- g. Provide your place and date of birth.
- h. Provide your email address.
- i. Indicate what discipline you feel qualified to practice in. Your education and experience record must support your answer.
- j. Please print application on single sided paper only.

2. Company Information

<u>All</u> businesses except sole proprietors who are <u>not</u> operating under a trade name must have a Certificate of Commercial Practice issued by the Board of Registration prior to practicing or offering to practice engineering in North Dakota. Application forms and instructions for a Certificate of Commercial Practice are on our web site at www.ndpelsboard.org.

- a. Provide the full name of the company you work for.
- b. Provide the mailing address for the company.
- c. Provide the Certificate of Commercial Practice number issued to your company by the North Dakota State Board of Registration for Professional Engineers and Land Surveyors. If you are not sure if your company has been issued such a number, please call the Board office at (701) 258-0786. If your company is in the process of applying for a Certificate of Commercial Practice, check the "Pending" box.
- d. If your employer is a sole practitioner who is using a trade name, a Certificate of Commercial Practice is required.

Sole Practitioners

If you are a sole practitioner operating under your own name, put that information in the spaces provided in for company information. Clearly indicate your name that you will be operating under and write the words "Sole Practitioner" next to your name. Anything other than your personal name is considered a Trade Name and requires a Certificate of Commercial Practice.

This section may not be left blank. Failure to provide this information results in an incomplete application and will not be considered by the Board.

3. References

- a. Provide the name, registration number, address, position, and telephone number of five individuals you wish to provide reference letters in support of your application.
- b. At least 3 of the 5 references must be professionals licensed in the profession you are applying for who can verify at least a portion of your experience. The remaining two references may be personal.
- c. If you will be submitting an NCEES record, you must list the individuals who provided references. Do not leave this section blank or reference the NCEES record. Reference letters must be dated within the past five years. If, for example, three of your reference letters are more than five years old, you must request updated reference letters from three individuals. In this example, the three outdated reference letters in your NCEES record would not be accepted. It is your responsibility to contact NCEES and have your council record sent to North Dakota.
- d. Refer to the instructions for completing the Reference Letter Form B.

4. Education

- a. Provide, in chronological order, the name and location of each school you have attended.
- b. Indicate the duration of time you spent in each school.
- c. If you graduated from the school, provide the date of your graduation.
- d. List any degrees received from each school.
- e. Official transcripts of all courses and grades of colleges, universities, or technical schools attended are required. The transcript must be sent to the Board office directly from the issuing university or arrive in a sealed envelope with the university registrar's seal or signature.
- f. If you are using an NCEES record, the transcripts from that record will suffice provided that they show the date of graduation and what degree was conferred. You must list the schools you attended in this section. Do not leave it blank or reference the NCEES record.

5. Previous Registrations

- a. Provide the names of any other jurisdictions in which you hold or have held a valid registration. Please list the jurisdiction of your original registration first. You must list your other registrations in this section. Do not leave it blank or reference the NCEES record.
- b. Indicate the year of first registration for each jurisdiction.
- c. "How registered" means whether you were registered by taking the Principles & Practice examination, comity, reciprocity, endorsement, etc, or if a grandfather clause was in effect. If an oral examination was conducted by the Board as part of your registration in that jurisdiction, please indicate so.
- d. Indicate whether your license in that jurisdiction is active or lapsed.
- e. If you have taken the Fundamentals examination, please provide the date and the state in which it was taken.

6. Technical and Other Examinations

- a. If you have taken any technical examinations for trade certification, please list.
- b. If you have taken any state specific examinations as part of the registration process in that jurisdiction, please list.

7. Experience Record

If you are applying for both a PE and PLS license or if you already have a license and are applying for a second one, you must use the "Dual Registrant" experience record form located on our web site.

- a. Beginning with the date you received your university degree, list each work engagement you have had. Show the title of position, name of employer, and character of each engagement. This must be a detailed statement as to the duties performed, degree of responsibility, and character of work. If necessary, attach additional sheets. Inadequate experience descriptions are a frequent cause for deferral of applications.
- b. Provide name, title, address, telephone number, state of professional engineering registration, and registration number of the supervisor for each engagement.
- c. When calculating time in columns 1-5, follow the instruction on Form A carefully.
- d. <u>All time must be accounted for and be current to the date of the application!</u> Make certain that there are no time gaps in your experience record even if that time is a period of non-professional work, unemployment, or even a short time gap during the transition period from one place of employment to another.
- e. If you are using an NCEES record, type, "Refer to NCEES record" in engagement A. If the experience record contained in your NCEES Record is not current to the date of the application, you must complete the experience record in the application to bring your record up to date. For example, if the last date entry in your NCEES Experience Record is "June 2007", you must complete the experience record in the application from "June 2007" to present. The annual renewal forms, "Update" forms and the "Engineering and Related Experience" forms used by NCEES to update your experience in the NCEES record are not acceptable to the North Dakota Board without a current Experience Record form.

8. Code of Ethics

a. Your professional practice in North Dakota is bound not only by statutes and rules, but also by the Code of Ethics. The Code of Ethics is located in North Dakota Administrative Code § 28-03.1-01-01. Read the Code of Ethics carefully. When you have read the Code of Ethics, sign your name on the line provided.

9. Affidavit

- a. Answer yes or no. If you have had any form of sanction in any jurisdiction, please attach a statement giving full details and include any correspondence from the jurisdiction that imposed the sanction.
- b. Answer yes or no. If you answer yes, you must include a statement that explains the details of the conviction. Include all criminal convictions including DUI's. Also include non-felony convictions such as Minor in Consumption, open container, petty theft, possession, etc.
- c. When you are satisfied that all information in your application is true and accurate, sign your name to the application in the presence of a notary public. Be sure the notary seals the affidavit.
- d. All signatures must be original and in blue or black ink.
- e. If you are using an NCEES record, you must still sign the application and have it notarized.

Additional Information

Fees

- 1. Registration by Comity/Endorsement
 - a. A registration fee of \$150.00 is required at the time the application is submitted. The check is payable to the "ND Board of Registration".

Renewals. The payment of the registration fee keeps the certificate of registration in force until the end of the biennium in which it is issued. A biennial fee of \$150.00, payable in December of even numbered years, is required to renew the certificate of registration for the following biennium. The biennial period is from January 1 of an odd numbered year until December 31 of an even numbered year. A notice of renewal will be sent to you at the last address you provided to the Board office in late October or early November in the year your license is due to expire. All licenses expire on December 31st of an even number year regardless of the point in the biennial period at which you become registered. Although the Board sends out a renewal reminder, it is your responsibility to know when your license expires.

Continuing Education: All Engineers and Land Surveyors registered in North Dakota, unless otherwise exempted are required to comply with the State Boards mandatory Continuing Education Program. A full text of the program rules and regulations is available at www.ndpelsboard.org.

Seals. "Professional Engineer" or "Professional Land Surveyor" seals may be obtained through the Board or through a local vendor. Forms for ordering seals are sent to registrants upon notice of registration or may be obtained upon request. You may have your seal made locally but the seal must comply with North Dakota Administrative Code § 28-02.1-08. Sample seals are depicted on the State Boards webpage.

Instructions for Reference Letter Form B

- 1. On the first blank line on page 1 of Form B, type your name and the profession (Professional Engineer or Professional Land Surveyor) in which you are seeking registration. The remainder of page 1 is to be completed by your references.
- 2. On the reverse side of the form, enter your name on the top line. Complete the remainder of the form giving a detailed description of each term of employment with the beginning and ending month and year. The experience must include all of the information you furnished on Form A in Section 7 "Experience Record". If the experience record submitted on Form A is of such length that the record cannot be typed on the back of Reference Letter Form B, use additional sheets.
- 3. Each professional reference must initial that part of your experience record with which the reference has personal knowledge. Failure of the reference to initial that portion of the experience listed with which the reference has personal knowledge will result in voiding the opinion of that reference. Be sure to tell your references to initial the form. **Reference letters that are not initialed is a frequent cause for deferral of applications.**
- 4. <u>All time must be accounted for to the date of the application!</u> Make certain that there are no time gaps in your experience record even if that time is a period of non-professional work, unemployment, or even a short time gap during the transition period from one place of employment to another.
- 5. Make five (5) copies of the completed Reference Letter Form B.
- 6. Send the forms directly to the references listed on Form A, Section 3 along with a stamped envelope addressed to the Board. The references are to return the completed Reference Letter Form B directly to the Board office.

Instructions for Verification of Registration Form C

The North Dakota Board requires verification of your successful completion of the NCEES or state licensure examinations. Only the state in which you took the examinations can make this verification. Follow the instructions below to complete the Verification of Registration form, send it to the verifying state, and have the verification form sent to the North Dakota Board. Your application is not complete and will not be sent to the Board for review without the appropriate verification form(s). If you are submitting an NCEES record, you do not need to complete Form C; it is contained within your council record. Many states are now participating in an electronic verification system. Log in to your "My NCEES" account at neess.org to initiate an electronic verification request. If you request verification electronically, you do not need to complete form C.

1. In the upper right hand corner, fill in your name, address, and date of birth.

- 2. If you took the Fundamentals exam and the Principles and Practice exam in different states, make a copy of the form and prepare a separate verification form for each state.
- 3. On the left side under "From:", fill in the name and address of the state board(s) from which you are requesting verification of your examinations.
- 4. Under "I.", check the appropriate lines for the type of registration you hold in that state.
- 5. Contact the board(s) from which you are requesting verification to find out if they charge a fee for completing verification forms. Send the form to the board per their instructions with the correct fee, if required. It is your responsibility to pay any required fees and complete the process to request verification.
- 6. Request that the completed verification be sent to the North Dakota Board of Registration. The North Dakota Board will not accept a verification form from an individual. The verification must be mailed directly from the state that is making the verification.
- 7. The North Dakota Board office will add the verification form to your application file.
- 8. **If you took any NCEES examination in North Dakota**, mark it in Section 5 on Form A. You do not need to send Form D to the North Dakota board office.

Board Contact Information

ND State Board of Registration PO Box 1357 Bismarck, ND 58502-1357

Telephone: 701-258-0786

Fax: 701.258.7471

Email: contact@ndpelsboard.org

ND State Board of Registration 723 Memorial Highway Bismarck, ND 58504

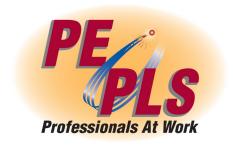
Checklist

- a. In order for your application to be completed, the Board office must receive the following documentation:
 - a. Completed application, signed and notarized

-or-

- b. Signed Code of Ethics statement
- Official college transcripts sent directly from the university or in a sealed envelope bearing the university registrar's seal or signature.
- d. Five completed reference letter forms; signed, dated and initialed where appropriate. Reference letters must be sent directly to the Board office by the reference.
- A verification of examinations from the jurisdiction in which you took your examinations. The verification form must come directly from the verifying Board.
- f. An NCEES Record if applicable. Review the appropriate instructions.
- g. Appropriate registration fee payable to "ND State Board of Registration".

THE RESPONSIBILITY FOR COMPLYING WITH ALL REQUIREMENTS FOR REGISTRATION RESTS WITH THE APPLICANT





NORTH DAKOTA STATE BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS

Application for Professional Engineer Registration by Endorsement

| | 1. GENERAL INFORMATION |
|--|--|
| | Date |
| Social Security No | D |
| Name in full | |
| Maiden Name | |
| Mailing Address | |
| City | State Zip Tel |
| Birthplace | Date Email Address |
| Are you a membe | r of the U.S. military or the spouse of a military member? □ Yes□ No |
| In what discipline(yourself qualified | s) of engineering (Civil, Electrical, Mechanical, etc.) do you consider to practice by reason of your training and experience? |
| Have you ever ap If yes, please give | plied for or been granted licensure in North Dakota? |
| | |
| | 2. COMPANY INFORMATION |
| Company Name ii | |
| Company Name ii Mailing Address | |
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| Mailing Address City | n full |
| Mailing Address City | State Zip Tel |
| Mailing Address City North Dakota Cert Give name and address professional reputat | State Zip Tel Pending |
| Mailing Address City North Dakota Cert Give name and address professional reputat | State Zip Tel Pending 3. REFERENCES ress of five (5) or more references, not relatives, not members of the Board, having personal knowledge of your character and on, and from whom you have requested Reference Letters. Reference letters from an NCEES Record must be dated within |
| Mailing Address City North Dakota Cert Give name and address professional reputat | State Zip Tel Pending 3. REFERENCES ress of five (5) or more references, not relatives, not members of the Board, having personal knowledge of your character and on, and from whom you have requested Reference Letters. Reference letters from an NCEES Record must be dated within least three (3) references must be from individuals duly registered as professional engineers. |
| Mailing Address City North Dakota Cert Give name and addressional reputate past five years. At 1) 2) | State Zip Tel Pending 3. REFERENCES ress of five (5) or more references, not relatives, not members of the Board, having personal knowledge of your character and on, and from whom you have requested Reference Letters. Reference letters from an NCEES Record must be dated within least three (3) references must be from individuals duly registered as professional engineers. |
| Mailing Address City North Dakota Ceri Give name and addiprofessional reputatipast five years. At 1) 2) 3 | State Zip Tel Pending 3. REFERENCES ress of five (5) or more references, not relatives, not members of the Board, having personal knowledge of your character and on, and from whom you have requested Reference Letters. Reference letters from an NCEES Record must be dated within least three (3) references must be from individuals duly registered as professional engineers. |
| Mailing Address City North Dakota Cert Give name and addressional reputate past five years. At 1) 2) | State Zip Tel Pending 3. REFERENCES ress of five (5) or more references, not relatives, not members of the Board, having personal knowledge of your character and on, and from whom you have requested Reference Letters. Reference letters from an NCEES Record must be dated within least three (3) references must be from individuals duly registered as professional engineers. |

4. EDUCATION

State in chronological order the name and location of each high or preparatory school, college, university or technical school attended, the time spent at each, and if graduated, the year of graduation. Also list graduate work, evening school, correspondence school, research work, etc. Request a transcript of courses and grades be sent to the Board office for each college attended.

| Name and Location of Institution | | Years From-To | Date Graduated | Technical Course | Degree Received |
|----------------------------------|--------------------|------------------|--|---------------------|--------------------|
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| | | r pprvioi | IC DECICED ATION | NO. | |
| | | 5. PREVIOU | JS REGISTRATION | | |
| Name of State | Year Registered | (written or o | ow Registered ral examination, record only ther clause," comity, etc.) | Discipline , | Active or Lapsed |
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| Have you taken the Fun | damentals of Er | gineering (FE) I | Examination? | | |
| □ Yes □ No | | If "Yes": D | Pate | State | |
| | | | ID OTHER EXAMII | | |
| | | | examinations or other | | |
| Conducted By | Address | C | Classification | Date | Rating |
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7. EXPERIENCE RECORD
APPLICANT MUST FILL OUT ALL COLUMNS

Instructions: Each of the five columns under "Time" shall be filled out for each engagement. Use zeros where necessary, but do not leave blank spaces, and do not use the word "yes". The time in "Sub-Professional Work" plus the time in "Professional Work" must equal the time entered under "Total Time". Any of the time entered in column (2) as "Professional Work" which has been in "Responsible Charge" must also be entered in column (4), and any time which has been in "Design" must also be entered in column (5); if appropriate, all or part of the time entered in column (2) must also be entered in both columns (4) and (5). Those individuals using an NCEES Record should indicate "See NCEES Record" in Engagement A and carefully read section 7 of the Instructions.

The Board requires a detailed description of each engagement. List several representative projects on each engagement and describe the magnitude and complexity of the work as well as your duties and degree of responsibility. If you need additional space, you may attach an additional sheet. Inadequate experience descriptions are a frequent cause for deferral of applications. Designate each engagement or change in position by separate letter.

All time must be accounted for and be current to the date of application! Make certain that there are no time gaps in your experience record even if that time is a period of non-professional work, unemployment, or even a short time gap during the transition period from one place of employment to another.

| ENGAGEME | DATE | TITLE OF POSITION, NAME OF EMPLOYER, AND CHARACTER OF EACH ENGAGEMENT - INCLUDE NAME, TITLE, REGISTRATION NO., ADDRESS & TELEPHONE NUMBER OF SOME PERSON (NOT DECEASED) FAMILIAR WITH EACH ENGAGEMENT, PREFERABLY THE PERSON TO WHOM YOU REPORTED | | (1) Sub- (2) Profe (3) Total | orofessio essional V Time (1) onsible (| +(2) | Tenths) |
|----------|-------------|---|-----|------------------------------------|--|------|---------|
| N T | | | (1) | (2) | (3) | (4) | (5) |
| | FROM | Name, Title, Address, Telephone, Email & Registration Number | | | | | |
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| E N G A G E M E N | DATE | TITLE OF POSITION, NAME OF EMPLOYER, AND CHARACTER OF EACH ENGAGEMENT - INCLUDE NAME, TITLE, REGISTRATION NO., ADDRESS & TELEPHONE NUMBER OF SOME PERSON (NOT DECEASED) FAMILIAR WITH EACH ENGAGEMENT, PREFERABLY THE PERSON TO WHOM YOU REPORTED | (2) Professional Work | | | | Tenths) |
|-------------------|-------------|---|-----------------------|-----|-----|-----|---------|
| N T | | | (1) | (2) | (3) | (4) | (5) |
| | FROM | Name, Title, Address, Telephone, Email & Registration Number | | | | | |
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8. CODE OF ETHICS

I certify that I have read the Code of Ethics contained in Title 28 of the North Dakota Administrative Code and that I have knowledge and understanding of the requirements outlined. I have also read the remainder of Title 28 of the North Dakota Administrative Code. I have a general knowledge and understanding of the administrative rules and laws relevant to the practice of professional engineering or professional land surveying in North Dakota. I further certify that I will abide by and subscribe to the Code of Ethics to the best of my ability.

| Signature of Applicant | | | | | | |
|---|---|-------------------------------------|----------------------------|--------|---------------------|--|
| 9. A | FFIDAVIT | | | | | |
| Have there ever been any formal sanctions imposed against you but not limited to fine, reprimand, probation, censure, admonition restriction or limitation of practice? If yes, provide a written explanation on a separate sheet of paper | , revocation, suspens | | □ Yes | | No | |
| Have you ever been convicted of a criminal offense? Include crir non-felony convictions such as Minor in Consumption, open contact. | | | □ Yes | | No | |
| STATE OF COUNTY OF s | es. | | | | | |
| , being first duly sworr best of my knowledge and belief, the foregoing statements are true and c | n, deposes and says: I correct in every respect. | am the Applicant name Dated this | ed in this appli day of | cation | and, to the , 20 | |
| -SEAL- | | (Signature of Applican | t) | | | |
| | ly commission expires _ | (Signature of Notary F | Public) | | | |
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North Dakota State Board of Registration for Professional Engineers and Land Surveyors

PO Box 1357, Bismarck, ND 58502-1357

Reference Letter for Registration by Endorsement

__ has applied to this Board for licensure as a Professional Engineer in the State of North Dakota under the Act to regulate the practice of professional engineering and land surveying. The applicant has referred to you as having knowledge of his/her personal and professional qualifications and the Board requests your cooperation in making its evaluation of the applicant more complete by giving a true answer to the following questions. These answers are to be given by you of your own personal knowledge, without the assistance of the applicant. The record of the applicant, as verified here by you, will be accepted by the Board as a deliberate act made for the express purpose of acquainting the Board with facts, as you see them, relative to the applicant's competency to practice the profession for which he/she has applied for licensure. All information secured from references is for use by the Board. Please give the information requested below and make any comments that may be of value to the Board in evaluating this application. Please be sure to initial any engagements on the experience abstract with which you are familiar! Sign and return this form to the above address at your earliest convenience. 1. A. How many years have you known the applicant? What is his/her usual occupation? _____ B. Has the applicant ever rendered you professional services as an engineer or land surveyor? C. What Year? _____ Explain the nature of the employment _____ Have you ever been associated with the applicant as ____ employer ___ partner ___ professional associate or 2. A. client ____? If so, during what period of time? _____ Would you recommend the applicant be licensed? ___ Yes ___ No 3. Α. Comments I have reviewed the attached experience record and have **initialed** those engagements I can substantiate. 4. A. B. I am familiar with the applicant but cannot substantiate any of the engagements on the attached experience sheet. **Reference Information** Signature Licensed in the Profession of Date Licensed and in good standing in the state(s) of Printed Name Registration Number Address Daytime Telephone Number City, State, Zip Code Email address

| F | Professional Record of |
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| Δ | Abstract of applicant's Practical and Professional Experience: |
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| N | Name of Reference |
| | □ I am not able to verify any experience Please Initial |



North Dakota State Board of Registration for Professional Engineers & Land Surveyors

VERIFICATION OF REGISTRATION

| To: | | North Dakota State Board of Registrat Professional Engineers and Land Surv | | | | |
|------|-----|---|---------------------|----------------------------|----------------------|------------------------|
| | | PO Box 1357 Bismarck, ND 58502-1357 | | Name of App | blicant | |
| Fro | m: | | | Address | | |
| | | | | City | State Ziņ |) |
| | | | | Date of Birth | | |
| | The | ahovo poroon was registered as | | Date of Biran | | |
| I. | THE | e above person was registered as: | Cert. | Date | Valid | Branches |
| | | Engineer Intern | Number | Issued | Until | of Reg. |
| | _ | Professional Engineer | | <u> </u> | _ | |
| | _ | Land Surveyor Intern | | | _ | |
| | _ | Professional Land Surveyor | - | | _ | - |
| | _ | • | | | | |
| II. | Bas | sis of Registration: | | | | |
| | 1. | Written Examination: | Hours | Grade | NCEES (y/n) | Date of Exam |
| | _ | FE Examination | | | _ | |
| | _ | PE Examination | | <u> </u> | | |
| | _ | LSIT Examination | | <u> </u> | | |
| | _ | LS Examination | | <u> </u> | | |
| | _ | Public Domain | | | _ | |
| | | EIT accepted from: | | | | |
| | 2. | Reciprocity with: | | | | |
| | 3. | Educational Experience: If less than on other side if checked here: | 8 years experienc | e including graduation fro | om CPD engineering c | urriculum, details are |
| III. | Qu | estions: | | | | |
| | 1. | Has disciplinary action ever been take | en against the app | olicant? □ Yes | □ No | |
| | 2. | If so, has this disciplinary case been | satisfied to the Bo | ard's requirements? □ | Yes □ No | |
| | 3. | Was the NCEES Cut Score used? ☐ If "No", please explain: | | □ No | | |
| BY: | | | | | | |
| | | | | (B | OARD SEAL) | |
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Form C-2018